

Personal Information

Title	First Name			Affix Minor and Custodian recent passport here.
Middle Name				
Surname				
Date of Birth	Sex	M ☐	F ☐	
Nationality	State of Origin	Local Govt.		
Religion	Mother's Maiden Name			
Residential Address				
Not a P.O.Box address				
Phone			Email	

Minor's Bank Account Information

Account Number		Account Name	
Name of Bank			

Next of kin Information

Name		Sex	M ☐	F ☐	Relationship	
Nationality		Phone		Email		
Residential Address						
Not a P.O.Box address						

Custodian A

Name		Sex	M ☐	F ☐	Relationship	
Phone		Email		BVN Number		
Residential Address						
Not a P.O.Box address						

Custodian B

Name		Sex	M ☐	F ☐	Relationship	
Phone		Email		BVN Number		
Residential Address						
Not a P.O.Box address						

Custodian's Signature Mandate

Kindly tick the authorized combination of signatories for all the mandates.

☐ **A** "A" only ☐ **A** OR ☐ **B** Any one of the signatories
☐ **B** "B" only ☐ **A** AND ☐ **B** Both signatories to sign

Please Note:

By signing this account opening form, you confirm that all the information provided here are correct.

You also commit to providing the following:

1. Minor's birth certificate
2. Custodian(s) valid means of identification
3. Custodian(s) Utility Bill (not earlier than 3 months)

Email Indemnity

In consideration of Bancorp Securities Limited (the "Company") agreeing to accept and honour electronic mail instructions from me/us (or from such other third parties as I/we may from time to time direct to operate my account) to operate my stockbroking account with the Company, I/we hereby unconditionally and irrevocably indemnify the Company against all claims which may be made against it in consequence thereof and to pay to it on demand, all payments, losses, costs and expenses made, suffered or incurred by the Company in consequence thereof or arising there from. I/we also agree to pay the Company on demand, any further charges and interest which may arise in the event of any claim being made against it under this arrangement. Furthermore, I/we UNCONDITIONALLY undertake to bear all responsibilities which may arise as a result of any payment made to or by the Company in furtherance of the above and to be directly answerable to any investigation by any statutory body or agency bordering on this request or any other charge that may be made in respect thereof.

☐ I/we agree to the above indemnity provisions

Privacy Policy

We will like to hold and process your personal data for the provision of financial services to you subject to your express consent authorising us to do so and such data will not be disclosed to or accessed by any third party except with your prior approval, for regulatory reasons or if such disclosure is required for the performance of the financial services to you.

☐ I/we can confirm that I/we have read, understood and hereby accept the above privacy statement and I expressly give my consent and authorise you to collect, process and use my personal data for the provision of financial services to me.

Undertaking

I/we hereby undertake as follows:

1) That I/we have been informed of my duties and obligations which includes:

- a. To act in the best interests of the minor;
- b. Not to place myself under any financial or other obligation to any individuals or organizations that might seek to influence me in the performance of my role as a custodian;
- c. To avoid impropriety, or any appearance of impropriety;
- d. To avoid accepting gifts and hospitality that might reasonably be thought to influence my judgment, with respect to the minor's account;
- e. To seek proper advice and be objective in making decisions on behalf of the minor, and ensure that decisions are made solely on merit;
- f. To comply with the law on all occasions in accordance with the responsibility placed on me and in such a way as to preserve my integrity at all times;
- g. To be transparent and accountable for my decisions and actions;
- h. To ensure that confidential material, including materials about the minor, are handled with due care;
- i. To take steps to resolve any conflicts of interest that may arise.
- j. That I/we shall also be responsible for:
 - i. Closure of the Custodial Account;
 - ii. Maintenance of the account, initiating and executing transactions thereon;
 - iii. Issuing mandates for the purchase or sale of securities into or from the account;
 - iv. Collection of benefits, entitlements or rights accruing to the minor in respect of the securities in the account;
 - v. Designating a bank account in the name of the minor for e-dividend payment and direct cash settlement;
 - vi. Disbursement of funds from the account for the benefit of the Minor;
 - vii. Filing of complaint(s) on behalf of the minor with respect to the account;
 - viii. Keeping the minor informed (via written or electronic communication) of the actions taken or to be taken by the issuer of securities, having a bearing on the benefits or rights accruing to the minor;
 - ix. Any other actions necessary for the proper operation of the Custodial Account.

2) To comply with Rules and Regulations of The Nigerian Exchange Limited (NGX), I further undertake that;

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| (a) I am an adult of not less than twenty-one (21) years of age; | (b) I am not an undischarged bankrupt; |
| (c) I have never been convicted of any offence involving fraud, dishonesty, official corruption or moral turpitude; and | (d) I am of sound mind. |

3) To provide any information that may be requested by the Company or The Exchange from time to time;

☐ I hereby confirm that I understand the above duties and obligations and that this undertaking shall take effect immediately.

Signature for Custodian A

Signature for Custodian B

Official Use Only

Full Name of Client

Initiated by

Authorized by

Signature & date

Signature & date